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Plastics, (bio)polymers and their apparent biogeochemical cycle: an infrared spectroscopy study on foraminifera

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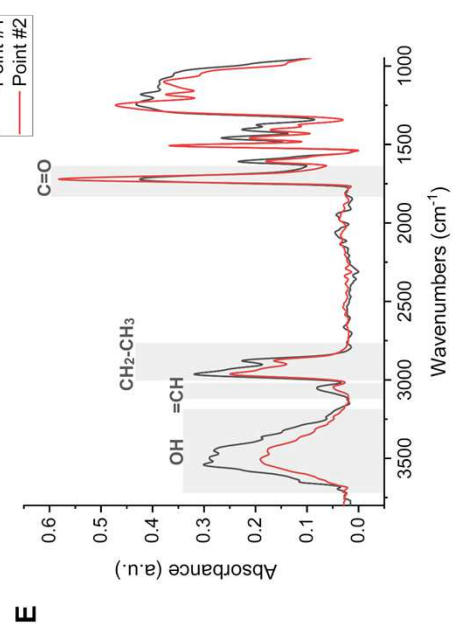
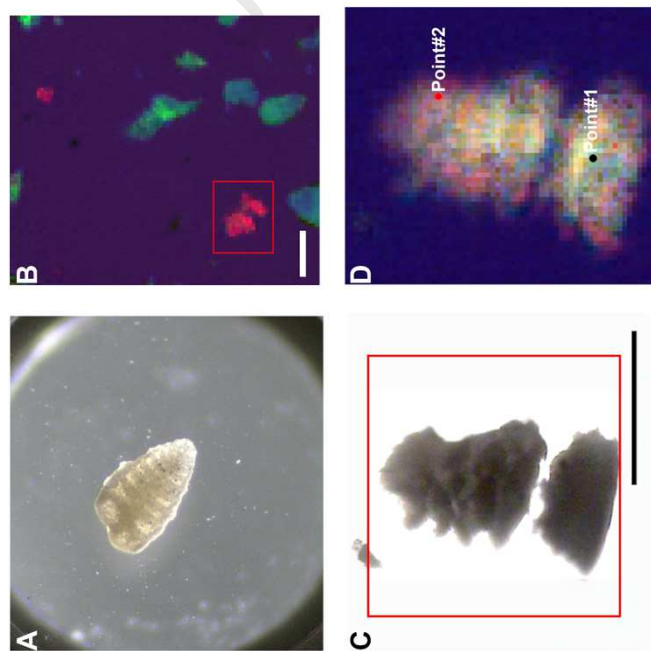
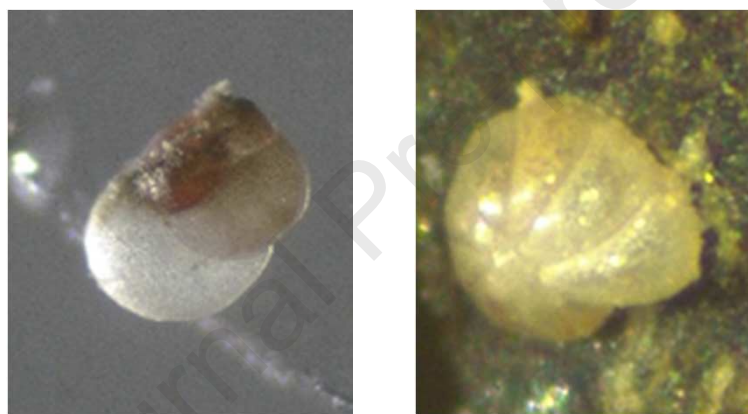
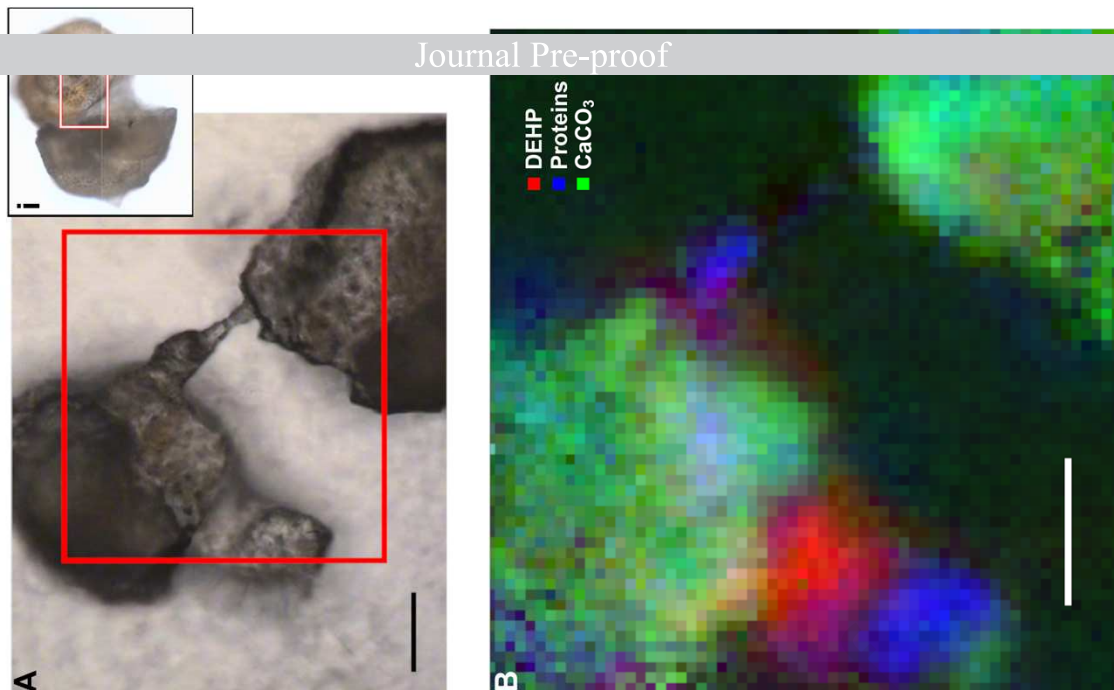
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#### Author statement

In this work, FC and AS cultured foraminifera in AQ University laboratory and provided foraminifera to CB and DM that cultured foraminifera in CAG University. LDB and MP provided EPB41 samples. MAC provided microtome cuts. AS, GB and LV conducted FTIR data collection and analysis. GDG, CM and DM coordinated CERIC-ERIC research activities. All the authors equally contributed to the experiment conception and writing the paper.

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1 Plastics, (bio)polymers and their apparent biogeochemical cycle: an infrared  
2 spectroscopy study on foraminifera

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24

25 **Abstract.**

26 To understand the fate of plastic in oceans and the interaction with marine organisms, we investigated  
27 the incorporation of (bio)polymers and microplastics in selected benthic foraminiferal species by  
28 applying FTIR (Fourier Transform Infrared) microscopy. This experimental methodology has been  
29 applied to cultured benthic foraminifera *Rosalina globularis*, and to *in situ* foraminifera collected in a  
30 plastic remain found buried into superficial sediment in the Mediterranean seafloor, *Rosalina bradyi*,  
31 *Textularia bocki* and *Cibicidoides lobatulus*. *In vitro* foraminifera were treated with bis-(2-ethylhexyl)  
32 phthalate (DEHP) molecule to explore its internalization in the cytoplasm. Benthic foraminifera are  
33 marine microbial eukaryotes, sediment-dwelling, commonly short-lived and with reproductive cycles  
34 which play a central role in global biogeochemical cycles of inorganic and organic compounds. Despite  
35 the recent advances and investigations into the occurrence, distribution, and abundance of plastics,  
36 including microplastics, in marine environments, there remain relevant knowledge gaps, particularly on  
37 their effects on the benthic protists. No study, to our knowledge, has documented the molecular scale  
38 effect of plastics on foraminifera.

39 Our analyses revealed three possible ways through which plastic-related molecules and plastic debris  
40 can enter a biogeochemical cycle and may affect the ecosystems: 1) foraminifera *in situ* can grow on  
41 plastic remains, namely *C. lobatulus*, *R. bradyi* and *T. bocki*, showing signals of oxidative stress and  
42 protein aggregation in comparison with *R. globularis* cultured in negative control; 2) DEHP can be  
43 incorporated in the cytoplasm of calcareous foraminifera, as observed in *R. globularis*; 3) microplastic  
44 debris, identified as epoxy resin, can be found in the cytoplasm and the agglutinated shell of *T. bocki*.

45 We hypothesize that plastic waste and their associated additives may produce modifications related to  
46 the biomineralization process in foraminifera. This effect would be added to those induced by ocean  
47 acidification with negative consequences on the foraminiferal biogenic C storage capacity.

48

49 **1. Introduction**

50

51 Plastics are emerging pollutant at the global scale that, with ca. 5 billion tons of waste dispersed in the  
52 environment, is affecting the oceans and the trophic chain (Geyer et al., 2017). It has been recently  
53 estimated that 5–8 million tons of plastic move from land to oceans every year (Jambeck et al., 2015)  
54 also reaching the deep ocean floor (Peeken et al., 2018). Plastics have then to be regarded as an  
55 analogue of sedimentary materials, as they undergo through their biogeochemical cycle characterized  
56 by a grain size comminution associated to some change in structural properties (Cau et al., 2020; and  
57 references therein). Microplastics are generally referred to the size smaller than 5 mm (Picó and  
58 Barceló, 2019, and references therein) and can be further classified into subcategories depending on  
59 their dimension. The amount of microplastics attaining the oceans varies in the range of 0.13 and 0.28  
60 million tons per year (IUCN, [https://www.iucn.org/theme/marine-and-polar/our-work/close-plastic-tap-  
61 programme/reports](https://www.iucn.org/theme/marine-and-polar/our-work/close-plastic-tap-programme/reports)). In turn, primary microplastics can become secondary microplastics due to  
62 physical and mechanical processes occurring in the oceans. Environmental microplastic pollution is  
63 recognized to have long persistence ranging from decades to hundreds of years (Auta et al., 2017 and  
64 references therein; Enders et al., 2019; Shim and Thompson, 2015; Vandermeersch et al., 2015).  
65 Despite undoubtedly long-term harmful impact to microbiota, the fate of microplastics, chemicals and  
66 synthetic molecules incorporated into both the cytoplasm and skeletons of marine micro-organisms is  
67 still poorly understood (Baztan et al., 2018; de Sá et al., 2018).

68 A growing body of literature investigates ecotoxicological effects of plastics. Redondo-Hasselerharm et  
69 al. (2018) found that plastic pollutants endanger macroinvertebrate at high concentration, however,  
70 even if the risks of environmentally realistic concentrations of microplastics may be low, they still may  
71 affect the biodiversity and the functioning of aquatic communities which after all also depend on the  
72 sensitive species. Microplastics can interact with the plankton thus entering the food web (Pazos et al.,  
73 2018), and this likely results in bis-(2-ethylhexyl) phthalate (DEHP) accumulation across the food web  
74 (Fossi et al., 2012).

75 DEHP is a moderately water-soluble synthetic molecule (Gibbons and Alexander, 1989; Luo et al.,  
76 2018), industrially produced, and used in plasticizers, industrial solvents and lubricants, additives in the  
77 textile industry, in pesticide formulations, and in bodycare products such as deodorants, perfumes, or  
78 hairsprays (Graham, 1973; Koo and Lee, 2004; Stanley et al., 2003). While the DEHP molecule does  
79 not exist in nature, having only an industrial origin, several phthalic diesters occur as natural products  
80 (Hoang et al., 2008; Ljungvall et al., 2008; Roy et al., 2006), making it suitable for strong bio-  
81 interactions in the ecosystem. The presence of carbonyl groups suggests that reactivity of DEHP may  
82 show some analogies with other organic and natural molecules, such as amino acids, lipids and  
83 polysaccharides, commonly associated to cyanobacterial activity (Singh et al., 2002), specifically to  
84 monostearin (Sanna et al., 2015) or succinic acid and fumaric acid, both components of the citric acid  
85 cycle. DEHP can be incorporated in hundreds of ppm in carbonate minerals providing us a suitable  
86 proxy for mineral-biosphere interactions (Sanna et al., 2015). Moreover, DEHP contains ester groups  
87 that are also present in molecular components of foraminifera, which causes that the DEHP may reveal  
88 some affinity to them even though its overall chemical scaffold is radically different (see Sanna et al.,  
89 2015 and references therein).

90 The open questions are how much the fate of plastics is affected by biological activities, where grain  
91 size comminution and interaction with tissues and biological fluids occur. To shed light on these  
92 questions, the different compartments of the biogeochemical cycle of plastics must be investigated.

93 In this work, to explore the fate of plastic debris in marine habitats we focus on benthic foraminifera.

94 These unicellular organisms, ubiquitous in the marine realm, colonize both the water column and the  
95 sediment. In the global carbonate budget, foraminifera are one of the major calcifiers contributing to  
96 the carbonate production (Kawahata et al., 2019). It has been estimated that all benthic foraminifera  
97 annually produce 200 million tons of calcium carbonate worldwide (Langer, 2008). Larger symbiont-  
98 bearing foraminifera play a prominent role as carbonate producers in modern tropical environments  
99 (reef and shelf areas), with an estimated production of at least 130 million tons of CaCO<sub>3</sub> per year. This  
100 amount corresponds to approximately 2.5% of the CaCO<sub>3</sub> of the annual present-day carbonate

101 production in all oceans (Langer, 2008). These data highlight the importance of foraminifera within the  
102  $\text{CaCO}_3$  budget of the marine realm. Because of foraminifera are sensitive to changes in the oceanic  
103 environment and their calcareous test preserve a record of ocean chemical variations, they can be  
104 considered as useful indicators of past climate and environmental changes (Boyle and Keigwin, 1985;  
105 Curry et al., 1998; Langer, 2008).

106 Foraminifera can be used also to define the present Ecological Quality Status (EcoQS) (e.g., Barras et  
107 al., 2014; Bouchet et al., 2018; Damak et al., 2019; Fossile et al., 2021) and to provide time series back  
108 to reference conditions (e.g., Alve et al., 2009; Dolven et al., 2013). Their distribution and composition  
109 are influenced by several environmental factors including temperature, salinity, organic matter inputs,  
110 oxygen, sediment grain size and composition (Celia Magno et al., 2012; Murray, 2006; Sen Gupta,  
111 1999). Based on such features, foraminifera are widely used as bioindicators of different impacts in  
112 coastal environments: aquaculture, oil spills, harmful metals and urban sewage (e.g. Bouchet et al.,  
113 2020; Parsaian et al., 2018; Vénec-Peyré et al., 2020), even though it is still controversial the  
114 distinction between natural and anthropogenic stress (Armynot du Châtelet and Debenay, 2010).

115 In a fairly large body of literature, foraminifera are investigated by *in situ* and/or *in vitro* experiments to  
116 dissect their response to changes in one or more chemical-physical parameters under controlled  
117 conditions, either at the level of the whole fauna (in micro- or mesocosms) or of one or a few selected  
118 species (in culture). The results obtained in the laboratory could represent a model, albeit simplified, of  
119 ecosystem functioning, and can be tested *in situ*.

120 It is noteworthy that accumulation of microplastics in foraminifera may be an entry of such particles  
121 into the marine benthic food webs but there is a severe lack of knowledge on whether and how this  
122 issue may affect benthic foraminifera. Grefstad (2019) fed several benthic calcareous foraminiferal  
123 species during a four-week experiment using fluorescent polystyrene microspheres. The author showed  
124 that there are differences in the accumulation of microplastics in benthic foraminiferal species driven  
125 by their food preferences and test composition. Langlet et al. (2020) monitored the short-term effect of  
126 polypropylene (PP) leachates at both environmentally realistic and chronic concentrations on the  
127 locomotion and metabolism of benthic calcareous foraminifera. They found that PP leachates have no  
128 lethal effects on this species activity. For these reasons, they suggest that benthic foraminifera may be  
129 more resistant than other marine invertebrates (i.e., mollusks, fishes) to plasticizers pollutants. Studies  
130 cited above tested the foraminiferal ability to accumulate plastics in the cell and the resistance to their  
131 potentially toxic effects. But no study, to our knowledge, has documented the effect of DEHP on  
132 foraminifera.

133 Fourier Transform Infrared spectroscopy (FTIR) is a well-established analytical technique that provides  
134 chemical information about the inspected samples (Silverstein et al., 2005). About 30 years ago, FTIR  
135 spectroscopy was coupled with microscopes, becoming FTIR spectromicroscopy ( $\mu$ -FTIR), allowing  
136 for a spatially resolved chemical characterization of materials ranging from polymers (Kellner et al.,  
137 1986; Kellner and Weigel, 1988) to biological samples like bacteria and eukaryotic cells (Legal et al.,  
138 1991; Wetzal and LeVine, 1999). Moreover, it was possible to push the resolution to the diffraction  
139 limit by using high brilliant sources like Synchrotrons (Holman et al., 2000; Jamin et al., 1988).  
140 Nowadays  $\mu$ -FTIR is commonly used in manifold scientific fields, like chemistry, materials sciences,  
141 pharmaceuticals, physics and in particular in biology, where it is routinely employed to probe cellular  
142 statuses (Doherty et al., 2017) or diagnose healthy and diseased tissues (Movasaghi et al., 2008).

143 In this work, we considered three foraminiferal species from the Mediterranean Sea and one cultured in  
144 laboratory to be representative of the main taxonomic groups having different types of building test: the  
145 calcareous species which precipitate  $\text{CaCO}_3$  to build their case and the agglutinated taxon that allocates  
146 particles of different type and size held together by a biochemical or Ca-carbonate cement (Sen Gupta,  
147 1999).

148 Therefore, the present study aimed to evaluate the effects of exposure of microplastics in foraminiferal  
149 specimens (*Cibicides lobatulus*, *Rosalina bradyi* and *Textularia bocki*) grown attached to plastic  
150 debris and collected into the sandy-silt sediment of the Mediterranean seafloor. Also, this research will  
151 focus then on the investigation of the DEHP molecule incorporation in the cytoplasm and calcareous  
152 test of *R. globularis* grown in critical exposure of this plastic additive.

153

154

155 **2. Material and Methods**

156

157 *2.1. Samples from laboratory cultures*

158

159 Culturing foraminifera was necessary to obtain and to maintain specimens for experimental purposes.  
160 Specimens of *R. globularis*, used in this study, were grown in monospecific cultures set up at the  
161 Laboratory of Paleoecology of the DISVA (UNIVPM). For foraminiferal culture maintenance we  
162 followed Caridi et al. (2020).

163 For the experiment, we selected foraminiferal tests in a size range from 90 to 150  $\mu\text{m}$  in order to have  
164 adult specimens and not juvenile forms, which could bias the taxonomic identification. Then, we  
165 observed them under the stereomicroscope NIKON SMZ-U to check their vitality. In particular, vitality  
166 was assessed by the presence of sediment particles close to the aperture and/or yellow/brown colour;  
167 these specimens were further observed under the optical microscopy Nikon Eclipse E 600 POL with  
168 phase contrast, in order to further detect pseudopodial activity.

169 One foraminiferal taxon (*R. globularis*) was picked from culture using a fine brush. Alive specimens  
170 were selected using phase contrast optical microscopy (please see above), and then each specimen was  
171 randomly transferred in three different series (replicates) of sterile plastic jars. In particular, in total  $n=4$   
172 plastic jars were prepared for the experiment:  $n=3$  replicates each containing  $n=5$  specimens of *R.*  
173 *globularis*, including controls ( $n=1$  jar) for a total of  $n=20$  specimens, in this work we show results only  
174 from some of the specimens.

175 Acute concentration of DEHP was tested, formally 500 mg/L neat liquid added to solution resulting in  
176 ca. 0.3 mg/L dissolved (Gibbons and Alexander, 1989), plus one control concentration (nominally 0  
177 mg/L). 20  $\mu\text{l}$  pure neat DEHP aliquots were added to 40 mL of filtered seawater and kept in a room  
178 temperature for 7 weeks. The cultures were incubated at 15°C, following the day-night cycle and fed  
179 with few drops of freeze-killed *Isochrysis galbana* every two weeks. Conditions of pH of  $8.0\pm 0.1$  and  
180 salinity of 35 were kept stable for the duration of the experiment, with weekly replacement of  
181 experimental solution of DEHP. Salinity adjustments were performed by adding deionized water.

182

183 *2.2. Samples from Mediterranean seabed*

184

185 Thirteen grabs (30 L Van Veen grab) were collected during the EPICA cruise carried out in the  
186 Southern Tyrrhenian sea, along the NE Sicilian continental margin (Patti basin). The expedition took  
187 place from December 16, 2015 to January 3, 2016 on board of the R/V Minerva Uno of the Italian  
188 National Research Council (CNR). At station EPB41, located at 82 m depth within the thalweg of small  
189 gullies in front of the Mazzarrà River mouth (Casalbore et al., 2017), a black plastic bag (about  
190 30x30cm probably constituted of polyethylene material) was found buried into sandy silt sediment,  
191 completely colonized by meio- and macrofaunal communities (bivalves, hydrozoa, gorgonians,  
192 polychaetes, ascidians, foraminifera, bryozoans). The plastic bag was cut into about 30 fragments  
193 (about 5x5cm) and analyzed by means of stereomicroscope to pick foraminifera living on the plastic  
194 surface. In this study, foraminiferal specimens belonging to *R. bradyi*, *C. lobatulus* and *T. bocki*, were  
195 considered. Only the well-preserved tests, recorded in attached life position on the plastic remain, were  
196 carefully detached from the plastic surface. Classification of the taxa was carried out on the literature  
197 guidelines (Cimerman and Langer, 1991; Sgarrella and Moncharmont-Zei, 1993). Taxonomical  
198 identification was based on World Register of Marine Species (WoRMS, 2020). Table 1 resumes the  
199 investigated samples. In total, we analyzed  $n=10$  specimens for each species (*R. bradyi*, *C. lobatulus*  
200 and *T. bocki*), in total  $n=30$  specimens. Samples investigated by FTIR analysis. Additional information  
201 on foraminifera is provided in the Appendix.

202





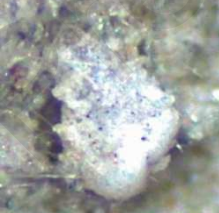
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211

**Table 1.**

Foraminifera investigated in this study. Pictures from EPB41 samples were taken on samples attached on the plastic remain before washing and picking.

SAMPLE	SOURCE/COLLECTION	PICTURE	DIAMOND ANVIL CELL (DAC)	RESIN EMBEDDED THIN SECTION
<i>Rosalina globularis</i>	unpolluted in vitro grown (S5) – negative control		8 maps*	2 maps
<i>Rosalina globularis</i>	DEHP polluted in vitro grown (S9) – positive control		28 maps	
<i>Cibicidoides lobatulus</i>	Mediterranean EPB41 (S3)		6 maps	6 maps
<i>Rosalina bradyi</i>	Mediterranean EPB41 (S8)		5 maps	7 maps
<i>Textularia bocki</i>	Mediterranean EPB41 (S1)		12 maps	1 map

212 \*Each map can be 1x1 tile or be a mosaic of multiple tiles, each tile contains 4096 spectra.

213  
214

### 215 2.3. FTIR

216

217 Samples were measured at SISSI beamline at Elettra Sincrotrone Trieste using a Bruker Hyperion 3000  
218 IR-VIS microscope coupled with a Vertex 70V in vacuum interferometer. As reported in Table 1, the  
219 harvested samples were divided into two groups and underwent a different processing before being  
220 measured. In order to prepare thin sections for FTIR microscopy, foraminiferal shells were immersed in  
221 100% resin (Epon 812) for 30 min at room temperature, followed by polymerization at 60°C for 48 h.  
222 Semi-thin sections (1  $\mu\text{m}$ ) were cut with a diamond knife on ultramicrotome and deposited onto CaF<sub>2</sub>  
223 windows. Some of the samples were picked up from solutions, deposited onto a diamond compression  
224 cell (Diamond Anvil Cell -DAC – X'press - Japan) and crushed to obtain flat pieces few microns thick  
225 and then measured by FTIR. Single foraminiferal specimens were picked up from the solution and  
226 dissected under the microscope into small fragments of shell and cellular part. The extracted parts were

227 placed onto the open DAC. Once the DAC was closed and the pressure was applied by tightening the  
228 screws, the samples were pulverized and thinned to allow for transmission measurements. In some  
229 cases, to maintain substantial morphology of the samples, very small fragments were imaged (see the  
230 next section).

231 FTIR spectra of the resin embedded sections and DAC samples were measured in transmission mode  
232 using a 15X objective and condenser and a Focal Plane Array (FPA) detector. The FPA detector has a  
233 sensitive overview area of  $168 \times 168 \mu\text{m}$  divided in  $64 \times 64$  pixels, and therefore every hyperspectral  
234 image contains 4096 spectra, with the effective pixel size of  $2.6 \times 2.6 \mu\text{m}$  per pixel. To survey the  
235 sample to find any area of interest, low-resolution images of the whole samples were firstly acquired  
236 using pixel binning at  $4 \times 4$  ( $10.5 \times 10.5 \mu\text{m}$  per pixel) with 16 scans and at  $16 \text{ cm}^{-1}$  spectral resolution.  
237 Then, images at higher lateral and spectral resolution were collected without binning, co-adding 256  
238 scans and at  $4 \text{ cm}^{-1}$  spectral resolution. For the samples grown in DEHP polluted environment, single  
239 point spectra were collected with a Mercury Cadmium Telluride (MCT) detector, with  $50 \times 50$  aperture  
240 size and 256 scans at  $4 \text{ cm}^{-1}$ . The data were analyzed in OPUS (Bruker Optics) and by in house code  
241 written in Python 3.5 using its routine numpy, scipy and matplotlib modules (Harris et al., 2020;  
242 Hunter, 2007).

243

#### 244 2.4. Data processing: Fixative removal for resin-embedded samples

245

246 To remove the contribution of the embedding resin from the collected images an automated procedure  
247 was developed. First, the spectra from the thin section images were cut to the  $970\text{-}1770 \text{ cm}^{-1}$  spectral  
248 ranges, and vector-normalized (to account for differences from thickness/density). Data with weak  
249 absorbance, mainly attributed to the substrate and the resin, were rejected, by using the height of the  
250 peak height at  $2560 \text{ cm}^{-1}$  (overtone calcium carbonate) as a discriminator factor: all data with this peak  
251 value  $\leq 0.1$  were treated as “empty areas” and were eventually substituted with the zero vector of the  
252 respective size (to make them easier for identification in the dataset). Such images were finally  
253 subjected to simple image processing by the median filtration and closing transformations to remove  
254 isolated pixels from the image.

255 Within the pre-filtered data, it was critical to discriminate the areas of the samples that belong to the  
256 shell and of the cellular compartments, minimizing the contribution of the embedding medium. As a  
257 matter of fact, the spectra of resin have an intense signal at  $1735 \text{ cm}^{-1}$ , whereas the pixels belonging to  
258 the foraminifera have a relatively intense band at  $1033 \text{ cm}^{-1}$ . Therefore, by computing the ratio of the  
259 signals at  $1735 \text{ cm}^{-1}/1033 \text{ cm}^{-1}$ , it is possible to discriminate the two components. In the end, the pixels  
260 characterized by this ratio between 0.1-1 yielded clean foraminifera spectra that are presented and  
261 discussed in the next sections. Additional information is provided in the Appendix.

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### 265 3. Results

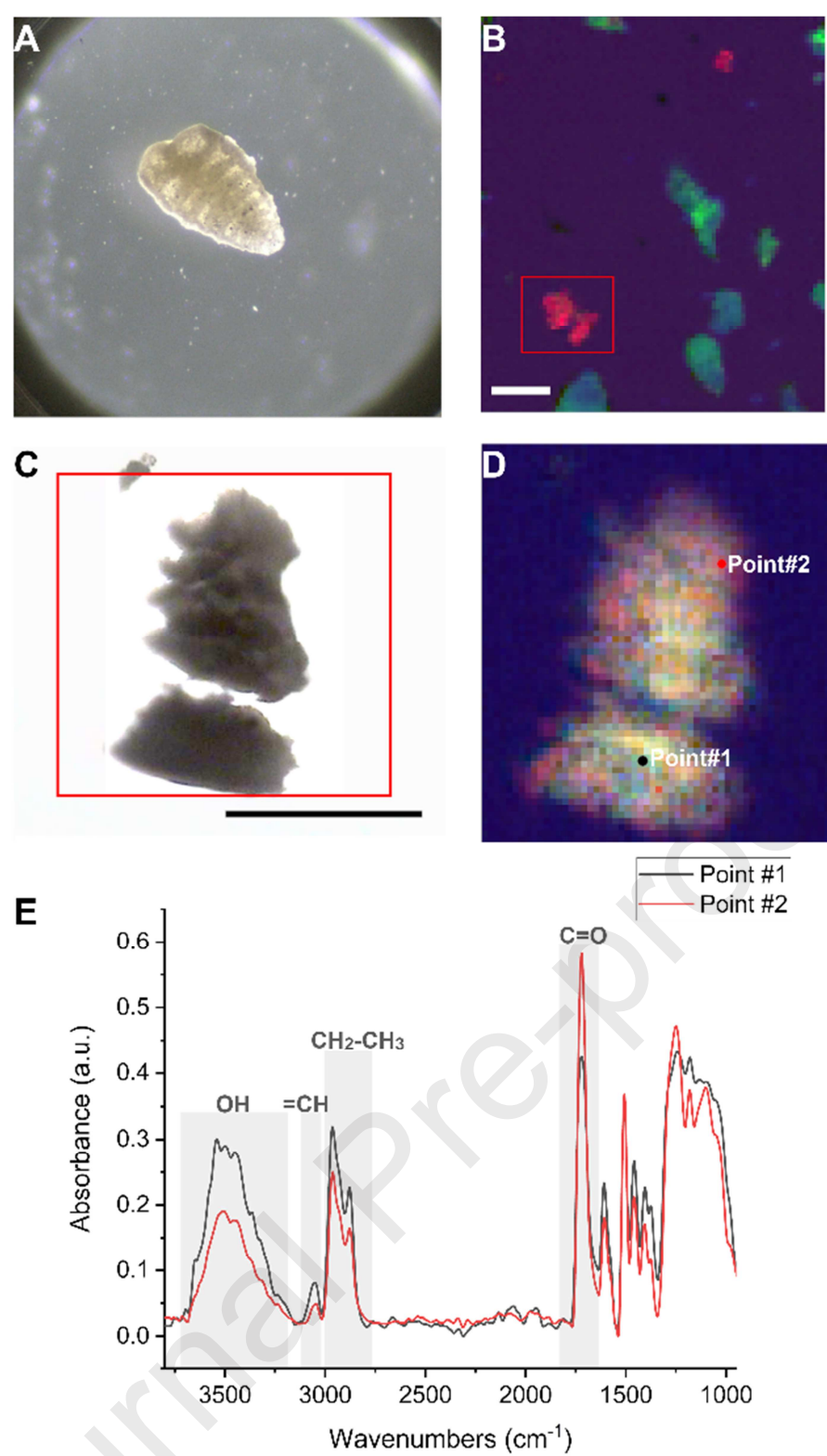
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#### 267 3.1. Direct incorporation and oxidation of microplastics in *Textularia bocki*

268

269 Among the foraminifera found on the plastic bag from EPB41 grab, a specimen of *Textularia bocki*, an  
270 epiphytic foraminifer that build its shell by agglutinating sediment grains, was extracted from the flask  
271 and inspected under the optical microscope (Fig. 1 A). Right upon dissecting it, a white particle  
272 emerged from inside the shell (Fig. 1 B-C-D). From the dissection process and the shape of the particle,  
273 that is  $100 \times 150$  microns in size, it could be speculated that the organism and its shell grew around the  
274 particle.

275



276  
 277 **Fig. 1.** A) optical image of intact *T. bocki* specimen (S1, EPB41 II) deposited onto the diamond anvil cell. B)  
 278 RGB FTIR image of *T. bocki* (S1, EPB41 II) sectioned by inside the diamond anvil cell: C=O ester band at ~  
 279 1720 cm<sup>-1</sup> (red), the calcium carbonate overtone 2700-2500 cm<sup>-1</sup> (green), the blue represents the amide II from  
 280 protein material. C) Optical image of the debris found inside the sample. D) false color RGB map obtained  
 281 integrating the C=O ester band at ~ 1720 cm<sup>-1</sup> (red), the CH<sub>2</sub>-CH<sub>3</sub> stretching 3000-2800 cm<sup>-1</sup> (green), the blue is  
 282 the C=C-H stretching 3100-3000 cm<sup>-1</sup>. E) Average spectrum extracted from the FTIR image in panel D. Scale  
 283 bars 100 microns.

284

285 In Fig. 1B, a heat map obtained by integrating the signals of interest for the shell/particle is presented in  
 286 false color. For doing so the following bands were integrated: calcium carbonate (green), a strong peak

287 from the released non-foraminiferal-material at  $1723\text{ cm}^{-1}$  (red), and the signal from amide II ( $1580-$   
288  $1480\text{ cm}^{-1}$ ) (blue). From this image composite, it is possible to see that the red particle has a chemical  
289 composition completely different in respect of the shell material. Moreover, there are even more red  
290 particles with similar chemical composition, to be found within the sample's debris.

291 More detailed spectroscopic analysis of this particle is shown in Fig.s 1D-E. From these data, we can  
292 see that the particle has an overall homogenous composition, with some red areas at the edges. i.e.  
293 oxidized, but better preserved in the center, with more C=C, that are usually prone to oxidize.

294 By comparing the particle spectra, presented in Fig. 1E, with those in a database, the best match was an  
295 epoxy resin (Wiley, 2020). Since this organism was harvested in the sea, it is likely that the englobed  
296 particle was incorporated in the foraminifera within the shell and later became its part of this during the  
297 growth stage. To confirm this hypothesis, it is possible to bring further evidence for degradation of the  
298 polymer, which, when compared to a spectrum of a pristine epoxy resin, presents broader peaks, traces  
299 of oxidation and hydrolysis detectable by the strong C=O peak and -OH peak and local decrease of the  
300 double bonds.

301 By analyzing the variations of average spectra of two selected points, it is possible to see that the  
302 unknown debris is characterized by a strong variability of signal at  $1723\text{ cm}^{-1}$  that originates from C=O,  
303 stronger at point #2 and weaker at #1 (red and black dots Fig. 1 D respectively, and spectra in Fig. 1E).  
304 Another prominent feature involved a strong signal in the  $\text{CH}_2-\text{CH}_3$  stretching range ( $2800-3000\text{ cm}^{-1}$ ,  
305 shown as green channel in Fig. 1D). From the spectra in Fig. 1E, it is possible to notice that the point  
306 #1 has a higher content of aliphatic chains in respect to #2, this correlates perfectly with in the partial  
307 oxidation of #2, and areas in the particle's image that appear "orange-red". In addition, we could also  
308 identify a variation of the intensity of the band at  $3050\text{ cm}^{-1}$  (shown as blue channel in Fig. 1D), that  
309 could be assigned to olefin  $=\text{CH}_2$  moieties.

310

311

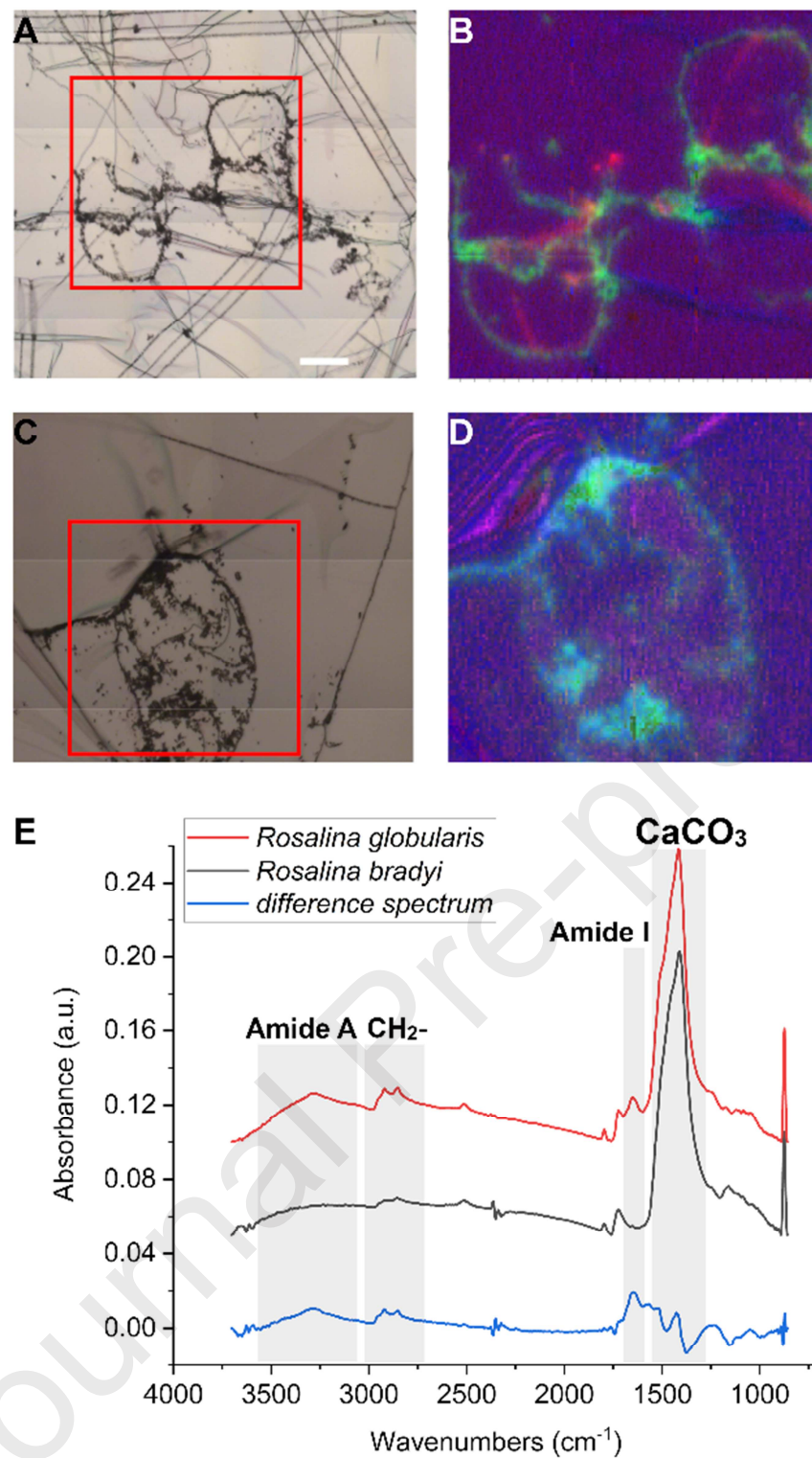
### 312 3.2. Comparison with negative control using oriented thin sections

313

314 As a first step, we checked for differences between wild type *R. bradyi* collected from plastic sea  
315 residue, and *R. globularis* specimens, grown in clean water in the laboratory. Thin sections of  
316 foraminiferal specimens were analyzed by FTIR imaging. Embedding medium was artificially removed  
317 as described in the previous section and Supplementary material, yielding a resin free map. In this  
318 work, we show the most relevant results, concerning *R. globularis* and *R. bradyi*.

319 In Fig. 2A, a slice of *R. globularis*, deposited onto  $\text{CaF}_2$  is presented. The optical image in Fig. 2A  
320 shows partial sub-axial sections of *R. globularis* where two chambers and monolamellar septa are  
321 visible. The Fig.s 2B and 2D are RGB false-color images, that were obtained by blending three infrared  
322 chemical images: the red channel represents the distribution of proteins by integrating Amide I, the  
323 green channel represents the chemical distribution of the calcium carbonate of the shell, and the blue  
324 channel shows the distribution of phosphates, either from the biochemical component of the shell and  
325 cytoplasm. A large and fast overview scan of the sample allowed the identification of the desired  
326 organic compartments on all the sections deposited on one  $\text{CaF}_2$  slide (data not shown). In Fig. 2B, it is  
327 possible to identify the two chambers delimited by the monolamellar septum of calcium carbonate of *R.*  
328 *globularis* (in green in the picture); proteins and phosphates portrayed by RGB false-color image  
329 highlight the organic components and in particular the dried cytoplasm. In Fig.s 2 C-D, the same  
330 representation is used for a longitudinal section of *R. bradyi* harvested from a plastic bag from the  
331 Mediterranean. At a first glance, when compared with the map in Fig. 2 B, it seems that the organic  
332 signal from *R. bradyi* is suppressed, thus pointing to lower metabolic activity due to the interference of  
333 the plastic support. This phenomenon is even more evident in Fig. 2E, where the average spectra from  
334 the two species and their differences are shown. By analyzing the spectrum of *R. globularis*, it is  
335 possible to clearly distinguish the Amide A ( $3300\text{ cm}^{-1}$ ) and Amide I ( $1650\text{ cm}^{-1}$ ) peaks, which are  
336 almost undetectable in *R. bradyi*. This is more evident when analyzing the difference spectrum, which  
337 looks almost like a pure protein spectrum. We attributed this to the low amount of organic material  
338 present in the slice. As it comes to the shell composition, the calcium carbonate main peak at  $1410\text{ cm}^{-1}$

339 appears to be the same in both samples. An interesting spectral detail that can be observed for this  
 340 band, it is a shoulder at  $1460\text{ cm}^{-1}$  along to the main peak, suggesting that the shell of both may contain  
 341 a mixture of crystalline and amorphous calcium carbonate.  
 342



343  
 344 **Fig. 2.** **A-B)** optical image and RGB false-color images of *R. globularis* deposited onto CaF<sub>2</sub>. **C-D)**  
 345 optical image and FTIR imaging analysis of a longitudinal section of *R. bradyi* harvested from a plastic  
 346 bag from the Mediterranean Sea (S8, EPB41). **E)** Comparison between *R. globularis* (culture, red line)  
 347 and *R. bradyi* (plastic bag, black line) and difference spectrum obtained by subtracting *R. bradyi* from  
 348 *R. globularis*. Scale bars= 100 microns [A,C].

349

## 350 3.3. Analysis of cellular stress in benthic foraminifera

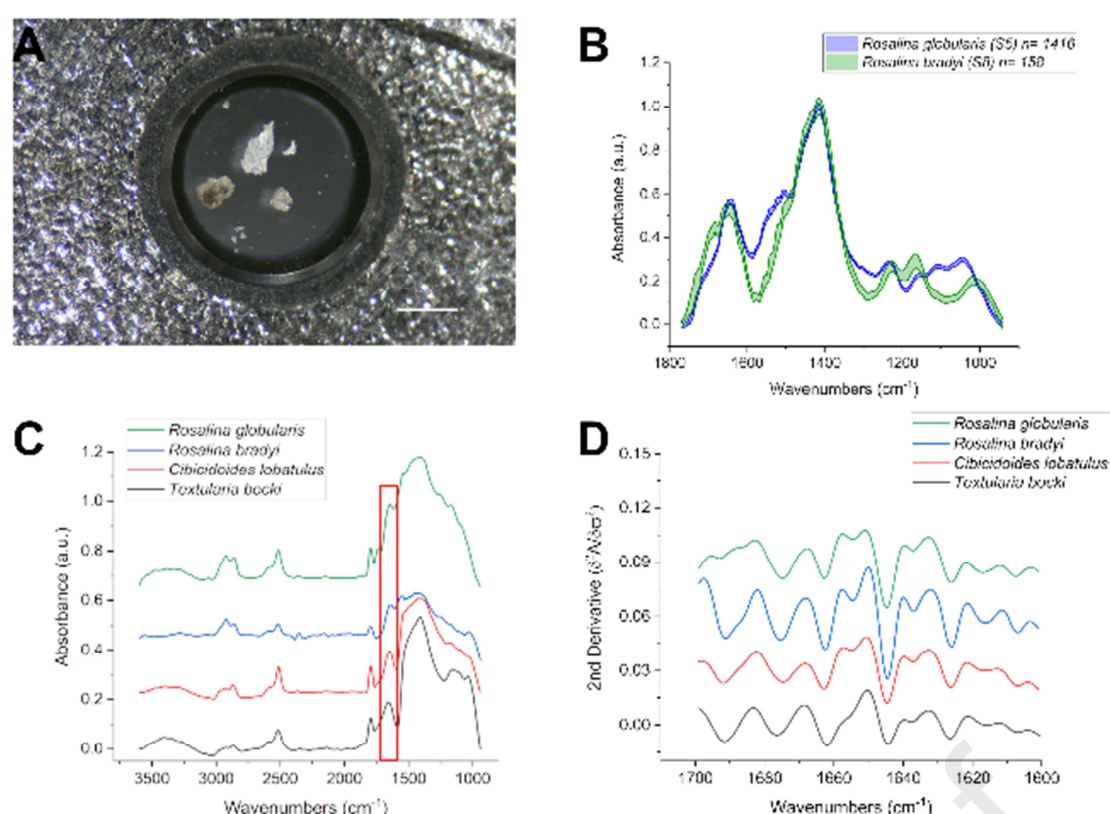
351

352 The DAC measurements of *R. globularis* (sample S5), yielded more pixels with a significantly higher  
353 amount of biochemical component if compared with *R. bradyi* (S8), in accordance with what was  
354 observed in the thin sections. In Figure 3A are shown small fragments of a *R. bradyi* (S8) inside the  
355 DAC cell. The size of the particle goes from few tenths to hundreds of microns, and they are either  
356 parts of the shells or they can also contain some cellular components.

357 As a matter of fact, in DAC samples, the Amide I signal turned out to be strong enough to allow for a  
358 more in-depth analysis of the protein conformation through the analysis of the second derivatives. To  
359 this purpose, the amide I band is the most studied band for the determination of the conformation of  
360 proteins using FTIR (Barth, 2007) and by analyzing the sub-components of this band it is possible to  
361 determine their secondary structure. For *R. bradyi* (S8) samples we could see an increase in the high-  
362 wavenumber part of amide I, indicative of accumulating misfolded forms of proteins: beta-sheets and  
363 turns (ca. 1660-1690  $\text{cm}^{-1}$ ). In addition, the main component of amide I red-shifts towards 1642  $\text{cm}^{-1}$ .  
364 In the foraminifera cells, a decrease in alpha-helix component and a contextual increase in beta-sheet  
365 structures are signals of cellular stress, which can lead also to apoptotic processes (Frontalini et al.,  
366 2015). Significant differences emerged for *R. globularis* specimens grown under the laboratory  
367 conditions (S5) that were found with a protein-rich cytoplasm (Fig. 3B, blue line). Specifically, it  
368 seemed to be particularly enriched in alpha-helical conformations (the band at 1654  $\text{cm}^{-1}$ ), along with a  
369 strong component at 1642  $\text{cm}^{-1}$ , indicative of unordered forms. Considering the shell composition, as it  
370 was observed for thin sections, the calcium carbonate signal is quite similar for the two systems,  
371 whereas the low-wavenumber part (down to 1000  $\text{cm}^{-1}$  mainly phosphates) of the FTIR spectra presents  
372 some differences between the two average spectra. In of *R. globularis* sample, the signals of symmetric  
373 and asymmetric stretching from phosphates groups at 1100  $\text{cm}^{-1}$  and 1230  $\text{cm}^{-1}$ , conventionally  
374 assigned to the nucleic acids, are clearly visible, whereas for *R. bradyi* the signal at 1100  $\text{cm}^{-1}$  is quite  
375 weak as the band at 1120  $\text{cm}^{-1}$ , usually assigned to RNA. This can also be assigned to a cellular stress,  
376 as we saw for the protein material. Finally, there is also a red-shift of the main carbohydrates' peak  
377 from 1055  $\text{cm}^{-1}$  to 1020  $\text{cm}^{-1}$ .

378

379



380

381 **Fig. 3.** A) optical image of fragments from a *R. bradyi* (S8, EPB41) inside the diamond compression  
 382 cell, before being pressed. B) average spectra comparing the organic fractions of *R. bradyi* (S8 green,  
 383 EPB41) and *R. globularis* (S5, negative control, blue), the line thickness corresponds to the standard  
 384 deviation. C) comparison of average spectra of *T. bocki* (S1, black, EPB41), *C. lobatulus* (S3, red,  
 385 EPB41), *R. bradyi* (S8, blue, EPB41), and *R. globularis* (S5 green). The grey dotted rectangle  
 386 highlights the spectral range of the Amide I, that is shown in the next panel. D) second derivative of the  
 387 average spectra presented in C, in the range of the Amide I, grey lines correspond to the frequencies  
 388 varying the most:  $1690\text{ cm}^{-1}$ ,  $1654\text{ cm}^{-1}$  and  $1645\text{ cm}^{-1}$ .

389

390 In addition to *R. bradyi*, other species of foraminifera were also harvested from the Mediterranean  
 391 EPB41 debris. Among these, *T. bocki* and *C. lobatulus* were considered in this study and measured in  
 392 DAC in order to verify the hypothesis whether the remarks noted for *R. bradyi* are species-specific or  
 393 representative to all foraminifera species. In Fig. 3C, average spectra of *T. bocki*, *C. lobatulus*, *R.*  
 394 *bradyi* and *R. globularis* were compared. The spectra were found with peculiar absorption bands. The  
 395 relative vibrational architecture seemed different for each species. Specifically, the data in Fig. 2C  
 396 showed that the two *Rosalina* species present a higher content of lipids ( $-\text{CH}_2$  signal at  $3000\text{--}2800\text{ cm}^{-1}$ )  
 397 as compared with *T. bocki* and *C. lobatulus*. In addition, we could see that the calcium carbonate signal  
 398 greatly varied in intensity among the species subjected to the comparison.

399 Instead, considering the protein component, we could see a similar biochemical pattern than that of the  
 400 samples harvested on the plastic bag. This remark is more evident in Fig. 3D, where the second  
 401 derivative spectra of Amide I are shown. From this plot, it is clear that all the plastic-grown samples  
 402 have a common component at  $1690\text{ cm}^{-1}$  assigned to protein beta-sheets which is absent in the negative  
 403 control-laboratory-grown samples (S5). Noticeably, intra-cellular protein aggregation into water-  
 404 insoluble beta-sheets is one of the most common features suggesting pathological events displayed  
 405 during oxidative-stress cytotoxicity (Krishnakumar et al., 2012; Novak et al., 2019; Shivanoor and  
 406 David, 2015). The accumulation of beta aggregates within the cell is then confirmed to be a good  
 407 candidate as a proxy for plastic-induced-environmental stress.

408

409

410

411 3.4. DEHP accumulation in the *Rosalina globularis* cell

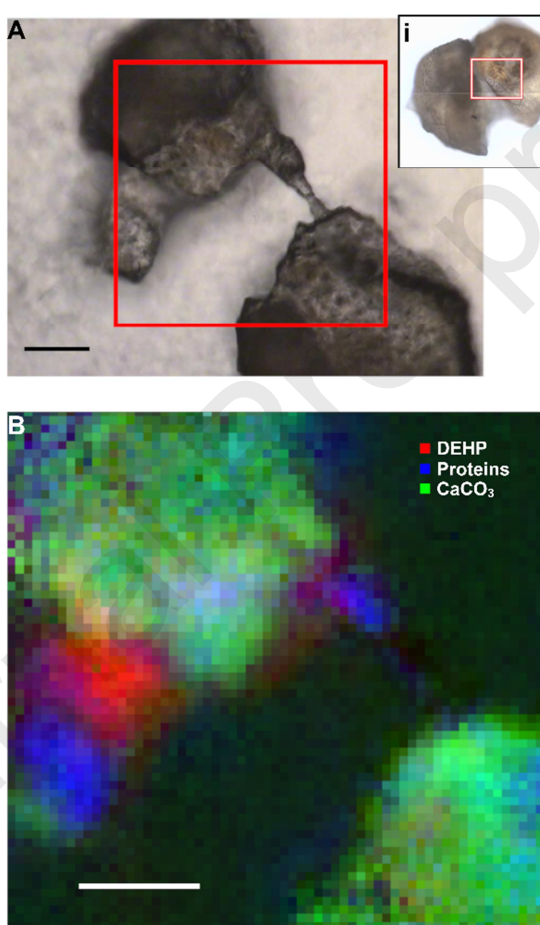
412

413 The analysis set out at answering the question on whether or not DEHP accumulates in the organic  
 414 fraction (i.e., the cell) of *R. globularis*. This species is routinely cultured in the laboratory and we were  
 415 able to grow it in clean water (S5 specimen) and under controlled addition of net liquid DEHP (S9  
 416 specimen). In Fig. 4A, an optical image of a small fragment of *R. globularis* (S9, DEHP), deposited  
 417 onto DAC, is shown. It should be emphasized that the cell was not closed to preserve the morphology  
 418 of this sample in this case. Therefore, it was not possible to collect a FTIR hyperspectral image of the  
 419 complete specimen that could be used to visualize the DEHP accumulation within the foraminifera,  
 420 because of the thickness of the sample. Nevertheless, smaller fragments could be analyzed and the  
 421 RGB map shown in Fig. 4B represents the results collected. This image was shown in RGB, the red  
 422 was assigned to the DEHP band at  $1730\text{ cm}^{-1}$ , the blue to the protein material from the cytoplasm, and  
 423 the green was used to show the distribution of the calcium carbonate. From the data in Fig 4B, it can be  
 424 seen that the DEHP signal is co-localized to the shell and cytoplasm.

425 By computing the average spectra for S5 and S9, shown in Fig. 5A, the DEHP-exposed sample (S9)  
 426 was found with the highest absorbance values. Surprisingly, any strong effect of the pollutant onto the  
 427 protein secondary structure could not be concluded. However, it seems possible that, the exposure to  
 428 the chemical was too short to see any strong net effect and DEHP molecules are accumulated in the  
 429 cellule's vacuoles and probably slightly transferred to shell carbonate material.

430

431



432

433 **Fig. 4.** A) optical image of a part of *R. globularis* (S9, DEHP) inside the diamond compression cell,  
 434 after being dried. The red square is the measured area; in the inset i) Optical image of an intact *R.*  
 435 *globularis* grew with DEHP before being dissected. B) RGB false color chemical map of the same area  
 436 shown in panel A of *R. globularis* + DEHP. Scale bars: 50 microns.

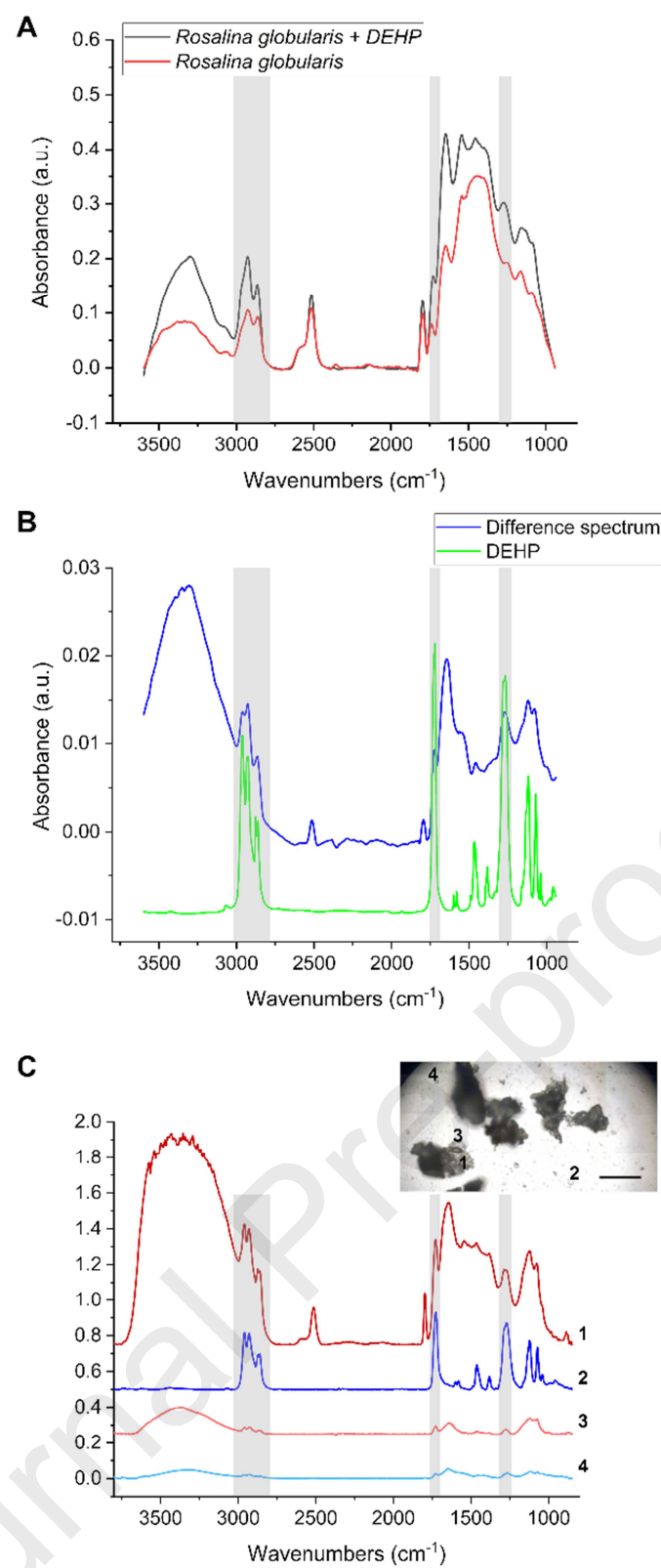
437

438 To prove that DEHP accumulation yielded substantial molecular effect in the treated samples, the  
439 average spectrum of the un-treated sample was subtracted from that of the treated one. These data were  
440 shown in Fig. 5B. The net-DEHP signal was evident, as opposed to a raw DEHP spectrum. In  
441 particular, the intense DEHP bands centered at  $1720\text{ cm}^{-1}$ ,  $1275\text{ cm}^{-1}$ ,  $1077\text{ cm}^{-1}$  as well as the whole  
442 CH-stretching range were found to be the most intense ones. Thus, we can conclude that the DEHP  
443 molecule can enter the cell of *R. globularis* and be further accumulated within the shell. To confirm  
444 further these data a second sample for the same batch was measured once the water is dried out, in Fig.  
445 5C are shown the minimum and maximum DEHP signals measured in four different points of the  
446 sample: two inside *R. globularis* and two outside. In the specific, the points inside the cell correspond  
447 to an area comprising one pseudopodium and the cytoplasm of *R. globularis*. Instead, those outside the  
448 cell are found in small drops of DEHP that form onto the surface of the compression cell once the  
449 water, that has a lower boiling point, evaporates (see inset in Fig. 5C). It is evident from the data that  
450 the DEHP measured inside the cell is comparable or even higher than that measured outside.

451

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453  
 454 **Fig. 5.** A) average spectra comparing *R. globularis* (S9 black, culture with DEHP) and *R. globularis*  
 455 (S5, red, culture without DEHP), B) the difference spectrum of S9 minus S5, in blue, compared to the  
 456 DEHP spectrum, green line. C) four spectra representing the minima and maxima DEHP signal  
 457 detectable inside S9 (tones of red) and in the surrounding area (tones of blue), after the drying of most  
 458 of the water. In the inset the four locations from where the spectra were extracted. Scale bar = 200  
 459 microns.  
 460  
 461

462 **4. Discussion**

463

464 Now that microplastics have been worldwide recognized as an emerging pollutant, evaluating their  
465 effects on biota has become an urgent research priority. This is the first work, as far as we know, that  
466 investigates the impact of microplastic and their additives on foraminifera at the molecular scale.  
467 We observed a microplastics fragment, being the core around which *T. bocki* built its shell, and FTIR  
468 spectroscopy allowed to recognize the fingerprint of the epoxy resin debris. Furthermore, we found  
469 clear evidence for degradation of the polymer, which, when compared to a spectrum of a pristine epoxy  
470 resin, presents broader peaks, traces of oxidation and hydrolysis detectable by the strong C=O peak and  
471 –OH peak and local decrease of the double bonds. For this reason, the unique incorporation of plastic  
472 debris in the shell of the agglutinated species could be related to the building behavior of *T. bocki* to  
473 select and pick up these foreign elements to build its portable house. This indicates that investigated  
474 foraminifer can, at some extent, alter the plastic molecules driving plastics' biogeochemical fate.

475 Moreover, we individuated spectral markers providing insights on the effects that chemical stress  
476 induces on the studied foraminifera. DAC samples clearly indicate that all the samples grown on the  
477 plastic debris and *R. globularis* cultured in laboratory under acute DEHP concentrations present a  
478 signal at  $1690\text{ cm}^{-1}$ , assigned to beta-aggregates, that is absent in *R. globularis* grown in laboratory  
479 without any stress related to plastics or DEHP.

480 Our results confirm the reliability of FTIR spectroscopy in discriminating between the natural and  
481 synthetic molecules and so the possibility to mark the DEHP inside the foraminiferal cytoplasm even if  
482 in other marine organisms. Several literature works (Prakash et al., 2015) report that one of the effects  
483 of micro and nano plastics presence in fishes (Alomar et al., 2017; Yu et al., 2018), marine worms  
484 (Rodríguez-Seijo et al., 2018) and even marine bacteria (Sun et al., 2018) is the production of reactive  
485 oxygen species (ROS) and the increase of the oxidative stress for the organ or whole organism. Ciacci  
486 et al. (2019) found that nanoparticles of polystyrene can be identified in cytoplasm of a foraminifer,  
487 *Ammonia parkinsoniana*, and this results in ROS and lipids production. Intra-cellular protein  
488 aggregation into water-insoluble beta-sheets is one of the most common features suggesting  
489 pathological events displayed during oxidative-stress cytotoxicity (Krishnakumar et al., 2012; Novak et  
490 al., 2019; Shivanoor and David, 2015). One of the FTIR biomarkers for oxidative stress is the  
491 increment of protein  $\beta$ -sheet aggregates at  $1690$  and  $1620\text{ cm}^{-1}$  (Elmadany et al., 2018; González-  
492 Montalbán et al., 2007; Mitri et al., 2015; Xiong et al., 2019). Therefore, the observed accumulation of  
493 beta aggregates within the cell, often insoluble, can be used as a proxy for the suffering of the cells for  
494 different species and plastic-related-stress environmental conditions.

495 Literature studies monitored the short-term effect of polypropylene (PP) leachates at both  
496 environmentally realistic and chronic concentrations on the locomotion and metabolism of benthic  
497 calcareous foraminifera (Langlet et al., 2020). They found that that PP leachates have no lethal effects  
498 on this species activity. Present study shows that DEHP, even if no evidence was found for lethal effects,  
499 deeply affected cytoplasm and shell composition. In fact, our analysis of FTIR data indicated  
500 that DEHP can enter the cell of *R. globularis* and can be accumulated inside the cytoplasm. As far as  
501 we know, this is the first evidence for noticeable accumulation of DEHP in foraminiferal cytoplasm.  
502 Thus, this study opens to the possibility that DEHP enter the food web directly by unicellular  
503 organisms. Moreover, it confirms that molecular scale knowledge of cellular stress and pollutants  
504 incorporation is complementary to ecotoxicology studies.

505 It is worth noting that DEHP together with other phthalate esters (PAEs) has been placed on the  
506 priority pollutant list of the United States Environmental Protection Agency (U.S. EPA). DEHP is  
507 resistant to degradation, resulting in potential accumulation in aquatic communities, thus posing  
508 potential environmental threats to aquatic organisms and affecting the overall aquatic ecosystems  
509 (Zhang et al., 2018). Consequently, DEHP exposure (e.g., ingestion of food, drinking water, dust/soil,  
510 air inhalation and dermal exposure) leads to serious damage to the liver and/or reproductive system  
511 (Net et al., 2015; Notardonato et al., 2019). In our study, analysis of thin sections and DAC mounted  
512 samples indicates that *T. bocki*, *R. bradyi* and *C. lobatulus* grown on plastic bags, and *R. globularis*  
513 cultured under acute DEHP exposure, show lowering in organic components up to 10 times with

514 respect to *R. globularis* grown in pristine seawater cultures. The results from this original spectroscopic  
515 analysis indicate that plastic pollution and related molecular stress can weaken cellular processes in  
516 foraminifera.

517 Plastic pollution, added to ocean acidification, can have an enhanced negative effect. Particularly, in  
518 calcareous foraminiferal species target of this study, the FTIR marker related to the shell results to be  
519 weakened by plastic pollution. It is worth noting that calcareous foraminiferal shells are a biological  
520 carbon reservoir playing a moderator role in global changes and increase of partial pressure of carbon  
521 dioxide (Barker and Elderfield, 2002; Schiebel, 2002; Boyle, 1986). From this point of view, plastics  
522 dispersion in the oceans impacts on biogenic storage of carbon and, in turn, has a negative feedback  
523 leading to additional increase in the atmospheric partial pressure of carbon dioxide.

524 The reduced calcification together with metabolic depression were observed also for benthic  
525 foraminifera experimentally grown in polluted-artificial-seawater. Caridi et al. (2020) found that the  
526 leachate of smoked cigarette butts affects the vitality and the test building mechanism of three different  
527 benthic foraminiferal species including *R. globularis* and *T. agglutinans*. Thanks to FTIR analysis,  
528 authors supposed that the two processes: foraminiferal death and decalcification are not related but both  
529 could depend on the pH reduction measured in the cigarette butts' leachate and on the toxicity of other  
530 dissolved substances, in particular nicotine, which lead to physiology alteration and in many cases  
531 cellular death.

532

533

### 534 **Conclusions.**

535

536 Knowledge of molecular processes ruling pollutants incorporation in biota is an insight into the  
537 assessment of the overall impact of pollutants on the oceans. As far as we know, this is the first  
538 molecular scale study that aims at determining the effects that plastics and related chemical residues  
539 (DEHP) have on foraminifera health status and biomineralization process. To recognize the presence of  
540 microplastics debris and DEHP in the cytoplasm and the shell of this set of samples and to probe the  
541 status of the biological material, FTIR (Fourier Transform Infrared) microscopy was used. FTIR data  
542 allowed to answer the question whether there are any noticeable biochemical differences in the shells  
543 and the cytoplasm depending on the exposure of the cell organism to microplastics and bio-accessible  
544 plasticizers. The analysis of FTIR measurements consisting of thousands of spectra collected on 22  
545 different samples show that: 1) microplastics debris can be found in the cytoplasm and inside the  
546 agglutinated test of *T. bocki*; 2) *C. lobatulus*, *R. bradyi* and *T. bocki*, grown on plastic remains, show  
547 signals of oxidative stress and protein aggregation; 3) DEHP can be incorporated in the cytoplasm of  
548 the commonly calcareous foraminifera *R. globularis*, thus entering biogeochemical cycles.

549 Polymers belonging to cellular machinery can be found both in the cytoplasm (rich in proteins, lipids,  
550 polysaccharides) and in the shell which is commonly made of calcite with different Mg concentration  
551 (calcareous tests such as *C. lobatulus*, *R. bradyi* and *R. globularis*), also containing natural biopolymers  
552 or formed by sediment particles glued together with a variety of cements (agglutinated tests such as *T.*  
553 *bocki*). This underlies high propensity of synthetic polymeric molecules for incorporation to  
554 foraminifera. The accumulation of beta aggregates within the cell is then confirmed to be a good  
555 candidate as a proxy for plastic-induced-environmental stress.

556 This work demonstrated that foraminifera are good proxy of on-going plastic pollution and if the  
557 associated molecules can be found in their shell, they can be candidate to detect the history of the  
558 plastic pollution in future investigations over several past decades because foraminifera are able to  
559 fossilize. Plastics undergo through biogeochemical cycling not only because the comminution due to  
560 biological activity, but also because of biochemical processes can enhance or induce some oxidation of  
561 plastics molecules. Our findings proved that microscopic FTIR analysis is an effective tool to  
562 investigate molecular-scale processes of interaction among plastics and foraminifera cytoplasm and  
563 shell. The possibility of analyzing spectral maps and specific spectral features was particularly useful to  
564 assess and compare the status of shells and cells. Future work will be addressed to characterize at the  
565 molecular scale the biogeochemical cycle of plastics considering other pollutant molecules and

566 foraminifera species. Plastic pollutions and ocean acidification can have together a cumulative effect  
 567 potentially affecting the foraminifera biogenic storage capacity before the 2100 forecast of Uthicke et  
 568 al. (2013).

569  
 570

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 573 pollutants in foraminiferal shells: tools for biomonitoring” and 20192072 CERIC “Fate of metals and  
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 578 FC and AS cultured foraminifera in AQ University laboratory and provided foraminifera to CB and  
 579 DM that cultured foraminifera in CAG University. LDB and MP provided EPB41 samples. MAC  
 580 provided microtome cuts. AS, GB and LV conducted FTIR data collection and analysis. GDG, CM and  
 581 DM coordinated CERIC-ERIC research activities. All the authors equally contributed to the experiment  
 582 conception and writing the paper.

583

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831

- Foraminifera can use plastic debris to build agglutinated tests
- Plastic debris in foraminifera can undergo oxidation
- Phthalate molecule can enter the body of foraminifera
- Plastic can affect foraminiferal cellules
- Ocean acidification and plastic pollution can have a cumulative effect

Journal Pre-proof

**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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